Liquid Capital Statement

for the month of 31-JUL-18

Of M/s. AL Habib Capital Markets (Pvt.) Ltd.

Submission Daté 15-AUG-2018 16:03:35

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1		1		TELL TELL BEST
1.1	Assets	8,378,634	8,378,634	
	Property & Equipment	2,633,334	2,633,334	
1.2	Intangible Assets Investment in Govt. Securities	154,828,907	154,936,920	154,936,920
1.4	Investment in Debt. Securities			
	If listed than:			
	is worthe balance sheet value in the case of tenure upto 1 year.			
	ii 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i, 10% of the balance sheet value in the case of tenure upto 1 year.			
	12.5% of the balance sheet value in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities	00 007 070	14 005 060	84,462,016
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	99,367,078	14,905,062	04,402,010
	respective securities whichever is higher.	32,139,208	32,139,208	
	ii. If unlisted, 100% of carrying value. iii. Subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money			
	that shares have not been alloted or are not included in the investments of securities blokel.			
	iv 100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	District the following of Stock Eychango (Clearing House against Margin Financing requirements of pleuded			
	to the parks against Short Torm financing agangements. In such cases, the halfcut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.		4 550 000	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,550,000	1,550,000	
	or any other entity.	1,000,000	0	1,000,000
1.9	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.			
1.10	Other deposits and propayments	24,708,749	24,708,749	52,293
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	52,293	U	52,293
	etc. (Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.13	A mounts receivable against Repo financing			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	39,780	0	39,780
1.15	i. short term Loan to Employees. Loans are secured and but to repayment within 12 months			
	ii. Receivables other than trade receivables	8,438,320	8,438,320	
1.16	Receivebles from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	1		
1	Receivables from customers			
1	the aggregate if (i) value of securities held in the	C	C	
	blocked account after applying VAR based Haircut (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying Vak based halfcut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii, Incase receivables are against margin trading, 5% of the net balance sheet value.			
	5. Not amount after doducting haircut			
	iii Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	112,542,742	2	112,542,742
	in Palanca sheet value			
	us legace of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	6,482,310	1,674,026	1,674,026
	using of socurities purchased for customers and held in sub-accounts after applying VAK based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.	290,563	290,562	2
1.18	Cash and Bank balances			
A.C	i. Bank Balance-proprietory accounts	3,128,81		3,128,817 76,621,398
	ii, Bank balance-customer accounts	76,621,39 26,83		76,621,398 26,833
	iii. Cash in hand	20,83	,	20,000

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Submission Date 15-AUG-2018 16:03:35

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Italian Ital	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Librative Payable to exchange and Identify house Payable to exchange and	1 10	Total Assats	532,228,965	249,654,815	434,484,825
1. Trade Payables against learning the learning thouse I. Payable against learning the learning thouse II. Payable against learning the					
Payable to exchanges and bleaming house Payable to castomes Payable to					
It Psycholic against leveraged masket products It Regulate to customers It Regulates to customers It Regulates to customers It Regulates to customers It Successful an equalitative dates It Successful and experimental participations It Successful an equalitative dates It Successful an equalitative dates It Deferred Liabilities It Deferred Liabilities It Deferred Liabilities It Long-Term financing obtained from financial institution Langtam poston of financing obtained from a institution including amount due against financial experiments It Long-Term financing obtained from financial institution Langtam poston of financing obtained from a financial institution including amount due against financial experiments It Long-Term financing obtained from financial institution. Langtam poston of financing obtained from a financial institution including amount due against financial experiments It Advance against shrains for inclease in Capital of Securities broken 1909. Retrout may be allowed in respect of advance against an earlier It also advance against an earlier It also advance against an earlier in a supplicative speciments It also an experiment benefits It Advance against them for inclease in Capital of Securities broken 1909. Retrout may be allowed in respect of advances against an earlier and the supplicative speciments It also an experiment benefits and included in the financial statements It also an experiment benefit and in the experiment of capital It also an experiment benefit and in the experiment of capital It also an experiment in the experiment benefit and in the experiment of capital		i. Payable to exchanges and clearing house			
ii. Payable to customes 1. Surrandy and egaplary rules 1. Surrandy provides 1. Surrandy provides 1. Surrandy provides 1. Surrandy rules 1. Surrandy rules 1. Payable of the bad debts 1. Surrand liabilities 2. Surrandy rules 2. Surrand liabilities 2. Surrandy rules 3. Surrandy rules 4. Surrandy rule		ii. Payable against leveraged market products	169 200 495	0	168 209 495
is Statutory and regulatory dues is Accurate and other payables is Short-term borowing is Defensed Liabilities is Provision for basid debts is Provision for basid for borowing for basid for ba			100,200,400	- w	
ii. Accurate and other psyches iii. Short- term borrowing iv. Current pontion of subordinated leans v. Defored labelities vi. Provision for testation vi. Provision for testation vi. Control labelities vi. Provision for testation vi. Control labelities vi. Nother leatiness is per accounting principles and included in the financial statements v. Other leatiness is per accounting principles and included in the financial statements v. Control labelities vi. Long- Term financing v. Statement benefits vi. Advance against share for present in Ceptal of Securities tokies: 100% halicut may be allowed in control in statement to the state captal and labelity vi. Robert in the statement benefits vi. Advance against share for present in Ceptal of Securities tokies: 100% halicut may be allowed in control in the statement of the statement benefits vi. Advance against share for present in Ceptal of Securities tokies: 100% halicut may be allowed in control in the view of the statement of the view of view of the view of view of the view of view of the view	2.2				
iii. Short- term berowing? Iv. Current portion of Sung torm liabilities V. Current portion of Sung torm liabilities V. Current portion of Sung torm liabilities V. Current portion of Sung torm liabilities Iv. Provision for Sung debts V. Current Sung Sung Sung Sung Sung Sung Sung Sung					7,594,892
V. Curent portion of long term liabilities VI. Potation for boat elbot VI. Potation for boat elbot VI. Curent Liabilities VI. Non-Curent Liabilities VI. Non-Curent Liabilities VI. Long-Term financing VII. Long-Term financing VII. Long-Term financing VII. Long-Term financing VIII. Advance against share for increase in Capital of Securities broker. 100% harcut may be allowed in respect of advance against share for increase in Capital of Securities broker. 100% harcut may be allowed in respect of advance against share for increase in Capital of Securities broker. 100% harcut may be allowed in respect of advance against share for increase in Capital VII. VII. VII. VII. VII. VII. VII. VII.		iii, Short-term borrowings	2,837,341	0	2,837,341
wi. Deferred Liabilities via. Provision for bias debts via. Long. Term financing a. Long. Jerm financing obtained from financial institution including amount due against finance lesse b. Other long-term financing ii. Solf receiverent benefits via. Advance against states in receive in Capital of Securities broker. 10% harcut may be allowed in via. Advance against states in receive in Capital of Securities broker. 10% harcut may be allowed in via. Advance against states in receive in Capital of Securities broker. 10% harcut may be allowed in via. Advance against states of brokers. via. In the existing authorized share capital allows the proposed enhanced share capital. b. Boad of Directors of the company has approved the increase in capital c. Relevant Regularory approved have been obtained d. There is no unastorated edely in Sixe of shares sagainst advance and all regulatory requirements relating to the increase of easiers the increase of capital. v. Other liabilities so per accounting peniodic seal included in the financial statements. 24. Subordinated Loans i. 1006. Of subordinated loans which furfill the conditions specified by SECP are allowed to be deducted. The Schedule Ill provides that 100% hardured will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard following conditionated Loans which fulfill the conditions specified by SECP. In this regard following conditionated Loans which fulfill the conditions specified by SECP. 178.641,728 178.641,729		iv. Current portion of subordinated loans			
vi. Provision for boad debts vii. Provision for braziation vii. Chier ilabilities as per accounting principles and included in the financial statements 1. Cong lerm financing a. Long lerm financing depend from financial included in the linencial statements b. Cong lerm financing dependent of the provision of financing obtained from b. Other long-term financing ii. Submitted for fereignent benefits iii. Advance against shares for increase in Capital of Securities braker. 10% haircut may be allowed in respect of advance against shares for increase in Capital of Securities and the state capital. b. The existing authorized share capital is always to the capital of securities and the state capital. c. Relieven in Regulatory approves have been been dependent of the state					
vii. Provision for taxation ix. Other (Liabilities as per accounting principles and included in the financial statements ix. Long - form financing obtained from financial institution. Long term protion of financing obtained from a financial institution including amount rule against financial particular including amount rule against financial statement and institution including amount rule against financial statement in the state of the state					
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b. Other long-term financing: ii. Advance against shares for increase in Capital of Securities broker. 100% harcust may be allowed in respect of advance against shares? iii. Advance against shares for increase in Capital of Securities broker. 100% harcust may be allowed in respect of advance against shares? iii. Beed of Directors of the company has approved the increase in capital. c. Relevant Repulators of papers has been obtained. d. Relevant Repulators of papers has been obtained. d. Relevant Repulators papers has have been completed. e. Auditor is satisfied that such advance is against the increase of papers. e. Auditor is satisfied that such advance is against the increase of papers. i. 100% of Subordinated Coans i. 100% of Subordinated claims which fulfill the conditions specified by SECP per alloweds to be deducted. Subordinated Loans i. 100% of Subordinated claims which fulfill the conditions specified by SECP per alloweds to be deducted. The Schedule il provides that 100% haricust will be allowed against subordinated Loans which fulfill the conditions specified by the executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period d. No haricust will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. iii. Subordinated loans which do not fulfill the conditions specified by SECP 7. Total Liabilities Relating to: 3. Concentration in Margin Financing the amount objects the substitute of exchange. iii. Subordinated loans which do not fulfill the conditions specified by SECP 3. Concentration in Margin Financing the amount circulated client-to-claims bass by which any amount receivable from any of the finances yecoed the of the aggingst of amounts receivable from total finances. 4. Concentration in Margin Financing the amount by which the aggingst of amounts receivable from total f		a Long-Term financing obtained from financial instituion; Long term portion of financing obtained from			
iii. Advance against shares for Increase in Capital of Securities broker. 100% harcut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital. b. Boad of Directors of the company has approved the increase in capital c. Relevant. Regulatory approved in the source of the company has approved the increase in capital c. Relevant. Regulatory approved in these of shares against advance and all regulatory requirements relating to the increase in paid up capital in these of shares against advance and all regulatory requirements relating to the increase in paid up capital in the second of the second in th		b. Other long-term financing			
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2.4 Subordinated Loans 1.10% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Cepital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities Ranking Liabilities Relating to: Total Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financeos seceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issue: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments are (ii) the value by which the underwriting commitments exceed the total liabilities of the value of the subscription price, 5% of the feature underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments (c)		relating to the increase in paid up capital have been completed.	,		
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3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances seceed 10% of the aggregate of amounts receivable from total financess. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsicilary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency assets denominated in foreign currency less total liabilities denominated in foreign currency	2.5		176,041,720		
The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price. 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency.	3	Ranking Liabilities Relating to:			
The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price. 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO Amount Payable under REPO	3.1	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO 3.6 Amount Payable under REPO 	•	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
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(b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of			
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		assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO	v.		

dule III ulation 6(4)]

Liquid Capital Statement

for the month of 31-JUL-18

Of M/S. AL Habib Capital Markets (Pvt.) Ltd.

Submission Date .15 - AUG - 2018 16:03:35

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s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financieripurchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received less value of any securities deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.	1		
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.	0	1,368,941	1,368,941
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collaterall pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selli positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.	0	1,368,941	1,368,941
3.11	Total Ranking Liabilites	353,587,237	248,285,874	254,474,156